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FULL LENGTH ARTICLE

# Attitude control of multi-spacecraft systems on SO(3) with stochastic links failure

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convergence

**Abstract** In this paper, for Multi-Spacecraft System (MSS) with a directed communication topology link and a static virtual leader, a controller is proposed to realize attitude consensus and attitude stabilization with stochastic links failure and actuator saturation. First, an MSS attitude error model suitable for a directed topology link and with a static virtual leader based on SO(3) is derived, which considers that the attitude error on SO(3) cannot be defined based on algebraic subtraction. Then, we design a controller to realize the MSS on SO(3) with attitude consensus and attitude stabilization under stochastic links failure and actuator saturation. Finally, the simulation results of a multi-spacecraft system with stochastic links failure and a static virtual leader spacecraft are demonstrated to illustrate the efficiency of the attitude controller.

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## 1. Introduction

In recent years, the attitude control of Multi-Spacecraft System (MSS) has aroused widespread concern. By using the information-based sharing, interaction and cooperation of the MSS to form a large virtual spacecraft, it can not only replace the role of large spacecraft in many application fields, but also obtain many advantages.<sup>1</sup> For example, in the process

of carrying out deep space exploration and earth observation missions, the MSS can significantly improve the information processing and observation capability. In addition, the failure of one spacecraft in an MSS will not cause the failure of the whole mission, which improves the reliability and stability. Meanwhile, it has the advantages of cheap and easy maintenance.<sup>2-5</sup> Therefore, as a necessary extension and supplement to the technology of large spacecraft, the MSS technology has a very important research value.

At present, many attitude representation methods have been developed for rigid body attitude control.<sup>6</sup> These include Euler angles and Modified Rodriguez Parameters (MRPs), which have the disadvantage of singularities.<sup>7</sup> Thus, they are not suitable for large-angle attitude redirection maneuvers. The unit-quaternion in non-Euclidean global parameterization has no singularity. However, there is an unexpected ambiguity

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phenomenon,<sup>8</sup> i.e., each rotation can be expressed by two different unit-quaternions. Accordingly, the attitude representation method of rigid spacecraft based on the Lie group  $SO(3)$  can avoid the defects of the above three attitude representations and has caused in-depth research. Four types of tracking control systems for a rigid spacecraft directly on the special orthogonal group  $SO(3)$  were designed by Lee<sup>9</sup> to achieve global exponential stability and to avoid singularities of local coordinates, or ambiguities associated with quaternions. An adaptive controller on  $SO(3)$  for a rigid spacecraft was derived by Kulumani et al.,<sup>10</sup> which can satisfy the attitude constraint and avoid the attitude-forbidden zone in the course of redirection.

In addition, for the attitude tracking problem of the MSS, all spacecraft have to track the desired attitude given by the virtual leader spacecraft. In order to reduce the communication burden and improve the robustness of the MSS, a distributed strategy has been widely used in missions,<sup>11–15</sup> i.e., each spacecraft can only determine its own control commands according to its own state and the communication with neighboring spacecraft. For the multi-spacecraft system on MRPs with both rigid and flexible spacecraft, a controller was designed by Du et al.<sup>16</sup> for each spacecraft to track the attitude of the virtual leader spacecraft. Cui et al.<sup>17</sup> proposed a distributed finite time attitude tracking controller with unavailable angular velocity on MRPs for uncertain MSS under directed topology conditions. An adaptive nonsingular fast terminal sliding mode controller was developed by Zhang et al.<sup>18</sup> for MSS using the unit-quaternion under directed and undirected graph to achieve attitude synchronization and tracking. For the multi-spacecraft system on unit-quaternion, in which only some spacecraft can obtain virtual leader commands, an adaptive attitude controller was designed by Yue et al.<sup>19</sup> to achieve attitude coordination and tracking under uncertain inertia parameters. An adaptive fault-tolerant controller on unit-quaternion was designed by Hu et al.<sup>20</sup> to realize the attitude coordination and tracking of multi-spacecraft system with the uncertain inertia parameters, the actuators failure, and the time-varying center of mass. Under a directed graph, a distributed adaptive controller was employed by Chen and Shan<sup>21</sup> for MSS on  $SO(3)$  to achieve attitude tracking and synchronization. Considering mixed attitude constraints, an saturated adaptive controller on  $SO(3)$  was designed by Kang et al.<sup>22</sup> to achieve attitude coordination and tracking of multiple spacecraft systems with arbitrary initial attitude. The above literature assumes that the communication links between spacecraft are determined, i.e., the links between spacecraft are 100% communicable, and stochastic links failure is not taken into account.

In practice, communication links between spacecraft are susceptible to multiple uncertainties, such as environmental disturbances, stochastic characteristics of equipment, and randomly lost package of data. Therefore, it is uncertain whether the communication link between spacecraft is connected, i.e., the link is possible to fail and be randomly reconstructed. A discrete-time protocol for discrete-time linear multi-agent systems was addressed by Rezaee et al.,<sup>23</sup> which achieved almost sure consensus under stochastic links failure. The attitude consensus problem in MSS using the unit-quaternion under stochastic link failures was studied by Rezaee and Abdollahi.<sup>24</sup> However, the model of the spacecraft is represented by the unit-quaternion, and it can not track the expected attitude

due to only considering the attitude consensus, which limits the application in the mission. To the best of our knowledge, designing an attitude controller for MSS on  $SO(3)$  with a virtual leader spacecraft under stochastic links failure is still an open problem.

In this work, we consider that the MSS are connected in a directed topology, and a virtual leader spacecraft provides a static desired attitude for the MSS. It is assumed that each communication link between two spacecraft including the leader is not deterministic and may experience connection failure and be reconstructed randomly over time. To solve this challenging problem, a MSS attitude error model based on  $SO(3)$  suitable for a directed topology link is derived. Then, a controller is designed to realize the MSS on  $SO(3)$  with attitude consensus and attitude stabilization under stochastic links failure and actuator saturation.

The main contribution of this work is stated as follows:

Compared with the existing attitude control approaches<sup>16,18,21,24</sup> of MSS, we design an attitude controller for the MSS on  $SO(3)$  with a static virtual leader to realize attitude consensus and attitude stabilization under stochastic links failure and actuator saturation.

The remainder of this paper is organized as follows. The attitude kinematics and dynamics of MSS on  $SO(3)$  are modeled in Section 2. One problem to be solved in this paper is stated in Section 3. In Section 4, an MSS attitude stabilization error model on  $SO(3)$  suitable for a directed topology link and with a static virtual leader is proposed. The controller under the stochastic links failure is designed to realize the MSS attitude consensus and attitude stabilization on  $SO(3)$  in Section 5. Simulation results are demonstrated in Section 6. Conclusions are drawn in Section 7.

## 2. Preliminaries

### 2.1. Attitude kinematics and dynamics with actuator saturation

In this paper, the attitude dynamics of a rigid body is considered. Let  $\mathcal{I}$  denote an inertial reference frame and  $\mathcal{B}$  denote the body-fixed frame with origin being located at the center of mass. A special group of  $3 \times 3$  orthogonal matrices used to parameterize attitude is defined as

$$SO(3) = \{R \in \mathbb{R}^{3 \times 3} | R^T R = I_3, \det R = 1\} \quad (1)$$

The hat map  $\wedge : \mathbb{R}^3 \rightarrow \mathfrak{so}(3)$  is used to convert a vector in  $\mathbb{R}^3$  to a  $3 \times 3$  skew-symmetric matrix, where  $\mathfrak{so}(3)$  is also the Lie algebra corresponding to the vector. More explicitly, for a vector  $x = [x_1, x_2, x_3]^T \in \mathbb{R}^3$ , we have

$$\hat{x} = \begin{bmatrix} 0 & -x_3 & x_2 \\ x_3 & 0 & -x_1 \\ -x_2 & x_1 & 0 \end{bmatrix} \quad (2)$$

The inverse of the hat map is denoted by the vee map  $\vee : \mathfrak{so}(3) \rightarrow \mathbb{R}^3$ . Several properties of the hat map and the vee map of  $x, y \in \mathbb{R}^3$  are summarized as follows:<sup>25,10</sup>

$$\hat{x}y = x \times y = -y \times x = -\hat{y}x \quad (3)$$

$$\text{tr}[A\hat{x}] = 0.5\text{tr}[\hat{x}(A - A^T)] = -x^T(A - A^T)^V \quad (4)$$

$$\dot{\hat{x}}A + A^T \hat{x} = (\{\text{tr}[A]I_3 - A\}x)^\wedge \quad (5)$$

$$R\hat{x}R^T = (Rx)^\wedge \quad (6)$$

for any  $x, y \in \mathbb{R}^3$ ,  $A \in \mathbb{R}^{3 \times 3}$  and  $R \in \text{SO}(3)$ .

Then, consider an MSS consisting of  $N$  spacecraft. Let  $R_i \in \text{SO}(3)$  represent the rotation matrix of the  $i$ -th spacecraft from the body frame  $\mathcal{B}$  to the inertial reference frame  $\mathcal{I}$ . The attitude kinematics of the  $i$ -th spacecraft can be expressed as<sup>26,21</sup>

$$\dot{R}_i = R_i \hat{\Omega}_i \quad (7)$$

where  $\Omega_i \in \mathbb{R}^3$  is the inertial angular velocity vector of the  $i$ -th spacecraft with respect to an inertial frame  $\mathcal{I}$  and expressed in the body-fixed frame  $\mathcal{B}$ . The attitude dynamics of the  $i$ -th spacecraft is given by<sup>25,10</sup>

$$J_i \dot{\Omega}_i = -\Omega_i \times J_i \Omega_i + u_i + d_i \quad (8)$$

where  $J_i \in \mathbb{R}^{3 \times 3}$ ,  $u_i \in \mathbb{R}^3$  and  $d_i \in \mathbb{R}^3$  denote the symmetric positive definite inertia matrix in the body-fixed frame and the control torque, and the external disturbance of the  $i$ -th spacecraft, respectively.

**Assumption 1.** The external disturbance  $d_i$  of each spacecraft is bounded by an unknown positive constant  $d_{i,\max}$ , i.e.,  $\|d_i\| \leq d_{i,\max}$ . In addition,  $d_{i,\max}$  is bounded by a known empirical value  $D_{i,\max}$ , i.e.,  $\|d_i\| \leq d_{i,\max} < D_{i,\max}$ , where  $\|\cdot\|$  denotes the Euclidean norm.

In addition, the actuators saturation is also considered in this work. The saturated control input  $u_i = [u_{i,1}, u_{i,2}, u_{i,3}]^T \in \mathbb{R}^3$  in Eq. (8) is defined as  $u_{i,p} = \text{sign}(u_{i,p}) \min(u_{i,\text{sat},p}, |u_{i,p}|)$ ,<sup>27</sup> where  $u_{i,p}$  and  $u_{i,p,\text{sat}}$  are the nominal input and saturation limit of the  $p$ -th actuator of the spacecraft with  $p = 1, 2, 3$ . The nonlinear saturation  $u_i$  in this work is approximately modeled as  $\bar{u}_i = [\bar{u}_{i,1}, \bar{u}_{i,2}, \bar{u}_{i,3}]^T \in \mathbb{R}^3$  by using a dead-zone based model<sup>28,29</sup> with the relation

$$\bar{u}_{i,p} = \rho_{i,p,0} u_{i,p} - \int_0^{K_{i,p}} \rho_{i,p}(k) \mathcal{Z}(k, u_{i,p}) dk \quad (9)$$

where  $\rho_{i,p}(k)$  is a known density function and is given as

$$\rho_{i,p}(k) = \begin{cases} \frac{2}{K_{i,p}} & k \leq K_{i,p} \\ 0 & k > K_{i,p} \end{cases} \quad (10)$$

The dead-zone operator

$$\mathcal{Z}(k, u_{i,p}) = \max(u_{i,p} - k, \min(0, u_{i,p} + k)) \quad (11)$$

Meanwhile,  $\rho_{i,p,0} = \int_0^{K_{i,p}} \rho_{i,p}(k) dk$  is a positive known constant parameter. We further have  $u_{i,p,\text{sat}} = K_{i,p}$  from  $\rho_{i,p}(k)$ .<sup>22</sup>

Then, the attitude dynamics of the  $i$ -th spacecraft Eq. (8) can be rewritten as

$$J_i \dot{\Omega}_i = -\Omega_i \times J_i \Omega_i + \bar{u}_i + d_i \quad (12)$$

with

$$\bar{u}_i = \rho_{i,p,0} \circ u_i - I_i \quad (13)$$

where  $\rho_{i,p,0} = [\rho_{i,1,0}, \rho_{i,2,0}, \rho_{i,3,0}]^T \in \mathbb{R}^3$ ,  $I_i = [I_{i,1}, I_{i,1}, I_{i,1}]^T \in \mathbb{R}^3$

with  $I_{i,p} = \int_0^{K_{i,p}} \rho_{i,p}(k) \mathcal{Z}(k, u_{i,p}) dk$ ,  $p = 1, 2, 3$ .

$u_i = [u_{i,1}, u_{i,2}, u_{i,3}]^T \in \mathbb{R}^3$  represents the controller output to be designed and the symbol  $\circ$  denotes Hadamard product.

## 2.2. Stochastic process

The change of a stochastic variable in time can be expressed by a stochastic process  $X = \{X(t), t \geq 0\}$ . Let  $\mathbb{P}\{\cdot\}$  and  $\mathbb{E}\{\cdot\}$  denote the probability and the expected value of a stochastic variable. The conditional expected value of  $X$  given an event  $H$  is expressed by  $\mathbb{E}\{X|H\}$ . The stochastic process can be described by the probability triple  $(\omega, \mathcal{F}, \mathbb{P})$ ,<sup>30</sup> where  $\omega, \mathcal{F}$  and  $\mathbb{P}$  are the space of events, a  $\sigma$ -algebra onto a subspace of  $\omega$ , and the probability measure on  $(\omega, \mathcal{F})$  with  $0 \leq \mathbb{P}\{\cdot\} \leq 1$  and  $\mathbb{P}\{\omega\} = 1$ , respectively. In addition, a filtration  $\{\mathcal{F}_t, t \geq 0\}$  on  $(\omega, \mathcal{F}, \mathbb{P})$  is defined as a set of sub  $\sigma$ -algebras of  $\mathcal{F}$  and satisfies  $\mathcal{F}_s \subset \mathcal{F}_t (s < t)$ .

In this condition, if  $X(t)$  is  $\mathcal{F}_t$ -measurable for all  $t \geq 0$ , then the stochastic process  $X = \{X(t), t \geq 0\}$  is adapted to the filtration  $\{\mathcal{F}_t\}$ . Moreover, a stochastic process  $X$  is a super-martingale relative to  $\{\mathcal{F}_t\}$  and  $\mathbb{P}$  if the following conditions are satisfied:<sup>31</sup>

- (1)  $X$  is adapted to the filtration  $\{\mathcal{F}_t\}$
- (2)  $\mathbb{E}\{|X(t)|\} < \infty \forall t$
- (3)  $\mathbb{E}\{X(t)|\mathcal{F}_s\} \leq X(s) \quad t > s$

The stochastic variable  $X(t)$  almost surely (a.s.) converges to a finite  $X_f$  if  $\mathbb{P}\{\lim_{t \rightarrow \infty} X(t) = X_f\} = 1$ , which is further equivalently written as  $\lim_{t \rightarrow \infty} X(t) \xrightarrow{\text{a.s.}} X_f$ .

Now, we can summarize the following super-martingale convergence lemma for deriving the main result of this paper:<sup>32,24</sup>

**Lemma 1.** If the stochastic process  $X = \{X(t), t \geq 0\}$  is a nonnegative super-martingale, then there exists a finite  $X_f$  such that  $\lim_{t \rightarrow \infty} X(t) \xrightarrow{\text{a.s.}} X_f$ .

## 2.3. Graph theory

The information topology between the leader spacecraft and the follower  $N$  spacecraft can be described by a directed graph  $\mathcal{G} = (\mathcal{V}, \mathcal{E})$ ,<sup>33</sup> where  $\mathcal{V} = \{1, 2, \dots, N\}$  denotes the node set and  $\mathcal{E} \subset \mathcal{V} \times \mathcal{V}$  is the edge set. The associated adjacency matrix is defined as  $\mathcal{A} = [\alpha_{ij}] \in \mathbb{R}^{N \times N}$ , where  $\alpha_{ij} = 1$  if  $(i, j)$  is one element of  $\mathcal{E}$ , i.e., the node  $i$  sends information to the node  $j$ , and  $\alpha_{ij} = 0$  otherwise. Since there is no self-loop for each node in this work,  $\alpha_{ii} = 0$  holds. The set of in-neighbors of the node  $i$  is denoted by  $\mathcal{N}_i = \{j | (j, i) \in \mathcal{E}\}$ . The in-degree matrix of the graph  $\mathcal{G}$  is denoted by  $\mathcal{D} = \text{diag}(\mathcal{D}_1, \mathcal{D}_2, \dots, \mathcal{D}_N)$ , where  $\mathcal{D}_i = \sum_{j \in \mathcal{N}_i} \alpha_{ij}$ . The out-neighbors set of the node  $i$  is denoted by  $\mathcal{O}_i = \{j | (i, j) \in \mathcal{E}\}$ . The out-degree matrix of the graph  $\mathcal{G}$  is denoted by  $\mathcal{Q} = \text{diag}(\mathcal{Q}_1, \mathcal{Q}_2, \dots, \mathcal{Q}_N)$ , where  $\mathcal{Q}_i = \sum_{j \in \mathcal{O}_i} \alpha_{ji}$ . Note that  $\mathcal{D}_i$  indicates the number of nodes (except the leader) sending information to the node  $i$  and  $\mathcal{Q}_i$  indicates the number of nodes (except the leader) receiving information from the node  $i$ . To describe the information flow from the virtual leader (i.e., node 0) to the followers, the leader adjacency matrix is defined as a diagonal matrix  $\mathcal{B} = \text{diag}(b_1, b_2, \dots, b_N)$ , where  $b_i = 1$  if the

282 virtual leader sends information to node  $i$ , and  $b_i = 0$   
283 otherwise.

284 2.4. Communication links failure

285 In practical situations, the connectivity of communication  
286 links among spacecraft is vulnerable to indeterministic failures  
287 due to malicious attacks, environmental disturbances and ran-  
288 domly lost package of data, causing that the communication  
289 links may break off and reconstruct stochastically.

290 To model the random connectivity of the communication  
291 links for each node, two time-varying connection probabilities  
292  $p_{ij}(t) \in (0, 1]$  and  $p_{i,0}(t) \in (0, 1]$  are used, which describe the  
293 connectivity of the links from spacecraft  $j \in \{1, 2, \dots, N\}$  sat-  
294 isfying  $(j, i) \in \mathcal{E}$  and the virtual leader 0 to spacecraft  
295  $i \in \{1, 2, \dots, N\}$ , respectively. It is noted that the communica-  
296 tion link from the  $j$ -th spacecraft (or the virtual leader) to the  $i$ -  
297 th spacecraft cannot be disconnected all the time, i.e.,  
298  $\forall t, p_{ij}(t) \neq 0$  ( $p_{i,0}(t) \neq 0$ ). Otherwise,  $\forall t, p_{ij}(t) = 0$   
299 ( $p_{i,0}(t) = 0$ ), the communication between the two spacecraft  
300 is always disconnected. In this case, the continuous links fail-  
301 ure becomes deterministic, which is not within our considera-  
302 tion. Moreover, two stochastic switching parameters  $a_{ij}(p_{ij})$   
303 and  $a_{i,0}(p_{i,0})$  associated with  $p_{ij}(t)$  and  $p_{i,0}(t)$  for the  $i$ -th space-  
304 craft are defined as

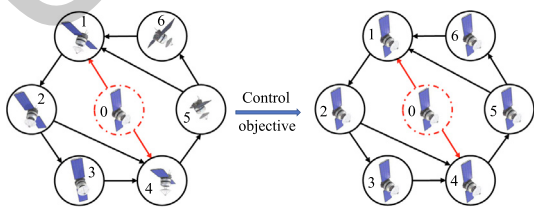
$$305 a_{ij}(p_{ij}) = \begin{cases} 1 & \text{with probability } p_{ij}(t) \\ 0 & \text{with probability } 1 - p_{ij}(t) \end{cases} \quad (14)$$

$$306 a_{i,0}(p_{i,0}) = \begin{cases} 1 & \text{with probability } p_{i,0}(t) \\ 0 & \text{with probability } 1 - p_{i,0}(t) \end{cases} \quad (15)$$

311 which indicate that the connection status of the communica-  
312 tion link from the  $j$ -th spacecraft (or the virtual leader) to  
313 spacecraft  $i$  is nondeterministic and is with probability  $p_{ij}(t)$   
314 (or  $p_{i,0}(t)$ ) over time. Specifically, in Eq. (14),  $a_{ij}(p_{ij}) = 1$   
315 means that with probability  $p_{ij}(t)$  spacecraft  $j$  transmits infor-  
316 mation to spacecraft  $i$  at time  $t$ , while  $a_{ij}(p_{ij}) = 0$  implies that  
317 with probability  $1 - p_{ij}(t)$  the communication link from space-  
318 craft  $j$  to spacecraft  $i$  is disconnected at time  $t$ . Then, we can get  
319 the expectations of  $a_{ij}(p_{ij})$  and  $a_{i,0}(p_{i,0})$  for each link related to  
320 spacecraft  $i$  at time  $t$ , which are  $\mathbb{E}\{a_{ij}(p_{ij})\} = p_{ij}(t)$  and  
321  $\mathbb{E}\{a_{i,0}(p_{i,0})\} = p_{i,0}(t)$ .

322 Next, define the following probability vector:

$$323 \mathbf{P}_i(t) \triangleq [p_{i,j_1}(t), \dots, p_{i,j_k}(t), \dots, p_{i,j_{\mathcal{D}_i}}(t), p_{i,0}(t)]^T \quad (16)$$



325 **Fig. 1** Schematic diagram of control objective: Formation reaches an attitude consensus and attitude stabilization.

with  $L_i = \mathcal{D}_i + b_i \quad \forall i \in \{1, 2, \dots, N\}$  and  $(j, i) \in \mathcal{E}$   
 $\forall k \in \{1, 2, \dots, \mathcal{D}_i\}$ . Then, the following assumptions are made  
about the connectivity probabilities.

**Assumption 2.** As  $t \rightarrow \infty$ , there exist  $t_{i,1}, t_{i,2}, \dots, t_{i,L_i}$  time  
instances for all  $i \in \{1, 2, \dots, N\}$  such that the time-  
concatenated vectors of each element in  $\mathbf{P}_i(t)$  are linearly  
independent. That is, the vectors

$$\underbrace{\begin{bmatrix} p_{i,j_1}(t_{i,1}) \\ p_{i,j_1}(t_{i,2}) \\ \vdots \\ p_{i,j_1}(t_{i,L_i}) \end{bmatrix}, \begin{bmatrix} p_{i,j_2}(t_{i,1}) \\ p_{i,j_2}(t_{i,2}) \\ \vdots \\ p_{i,j_2}(t_{i,L_i}) \end{bmatrix}, \dots, \begin{bmatrix} p_{i,j_{\mathcal{D}_i}}(t_{i,1}) \\ p_{i,j_{\mathcal{D}_i}}(t_{i,2}) \\ \vdots \\ p_{i,j_{\mathcal{D}_i}}(t_{i,L_i}) \end{bmatrix}, \begin{bmatrix} p_{i,0}(t_{i,1}) \\ p_{i,0}(t_{i,2}) \\ \vdots \\ p_{i,0}(t_{i,L_i}) \end{bmatrix}}_{L_i \text{ vectors and each one is a } L_i \times 1 \text{ vector}} \quad (17)$$

are linearly independent.

According to Assumption 2, the condition

$$\beta_{i,1} \begin{bmatrix} p_{i,j_1}(t_{i,1}) \\ p_{i,j_1}(t_{i,2}) \\ \vdots \\ p_{i,j_1}(t_{i,L_i}) \end{bmatrix} + \beta_{i,2} \begin{bmatrix} p_{i,j_2}(t_{i,1}) \\ p_{i,j_2}(t_{i,2}) \\ \vdots \\ p_{i,j_2}(t_{i,L_i}) \end{bmatrix} + \dots + \beta_{i,L_i-1} \begin{bmatrix} p_{i,j_{\mathcal{D}_i}}(t_{i,1}) \\ p_{i,j_{\mathcal{D}_i}}(t_{i,2}) \\ \vdots \\ p_{i,j_{\mathcal{D}_i}}(t_{i,L_i}) \end{bmatrix} + \beta_{i,L_i} \begin{bmatrix} p_{i,0}(t_{i,1}) \\ p_{i,0}(t_{i,2}) \\ \vdots \\ p_{i,0}(t_{i,L_i}) \end{bmatrix} = 0 \quad (18)$$

holds as  $t \rightarrow \infty$  for each spacecraft  $i$  only when  
 $\beta_{i,1} = \beta_{i,2} = \dots = \beta_{i,L_i} = 0$ .

**Example 1.** To illustrate the rationality of Assumption 2, the  
following example is given. Considering the communication  
topology shown in Fig. 1, for the spacecraft 1, there are two  
other spacecraft sending information to it, i.e.,  $\mathcal{D}_1 = 2$ , and it  
also has communication with the virtual leader spacecraft, i.e.,  
 $L_1 = \mathcal{D}_1 + b_1 = 3$ . Assuming that the connectivity of commu-  
nication links for spacecraft 1 is with probabilities  
 $p_{1,5}(t) = 0.8 + 0.1 \cos(t/8)$ ,  $p_{1,6}(t) = 0.7 + 0.2 \cos(t/5)$  and  
 $p_{1,0}(t) = 0.9 - 0.1 \sin(t/20)$ . Taking any  $L_1 = 3$  time instances,  
such as  $t_{1,1} = 20$  s,  $t_{1,2} = 50$  s,  $t_{1,3} = 120$  s, we have vectors,

$$v_1 = \begin{bmatrix} p_{1,5}(20) \\ p_{1,5}(50) \\ p_{1,5}(120) \end{bmatrix} = \begin{bmatrix} 0.7199 \\ 0.8999 \\ 0.7240 \end{bmatrix}$$

$$v_2 = \begin{bmatrix} p_{1,6}(20) \\ p_{1,6}(50) \\ p_{1,6}(120) \end{bmatrix} = \begin{bmatrix} 0.5693 \\ 0.5322 \\ 0.7848 \end{bmatrix}$$

$$v_3 = \begin{bmatrix} p_{1,0}(20) \\ p_{1,0}(50) \\ p_{1,0}(120) \end{bmatrix} = \begin{bmatrix} 0.8460 \\ 0.9801 \\ 0.8040 \end{bmatrix} \quad (19)$$

Obviously,  $v_1, v_2$  and  $v_3$  are linearly independent and satisfy  
Assumption 2. It can be concluded that any two links can meet

358 **Assumption 2** as long as the links failure probabilities are not  
359 equal.

360 **Remark 1.** In this work, the failure probability of any commu-  
361 nication link varies over time  $t$ , and the failure probability of  
362 any two communication links is not always equal. **Assumption**  
363 **1** and its detailed illustration **Example 1** further show the  
364 application range of stochastic links failure in this work, i.e.,  
365 the failure probability of any two communication links is not  
366 equal at all times, otherwise, **Assumption 1** is violated.

### 367 3. Problem statement

368 The objective of this paper is to design an attitude control  
369 scheme for an MSS with  $N$  spacecraft on SO(3) subject to  
370 stochastic communication failure, so that attitude consensus  
371 and the attitude stabilization can be achieved. In this work,  
372 we consider that spacecraft in the MSS are connected in a  
373 directed topology, and a virtual leader spacecraft provides  
374 the static desired attitude  $\mathbf{R}_0$  for the MSS.

375 For example, as shown in Fig. 1, the virtual leader is only  
376 connected to the first and the fourth spacecraft in the topology.  
377 It is supposed that there is no isolated node in the commu-  
378 nication graph, i.e.,  $\mathcal{N}_i \neq \emptyset \forall i$ , and the information of the virtual  
379 leader spacecraft can be transmitted to any spacecraft through  
380 a directed path(s). In addition, we assume that each communi-  
381 cation link between two spacecraft including the leader is not  
382 deterministic and may experience connection failure and  
383 reconstruction randomly over time.

384 This work mainly solves the following problem:

385 **Problem 1.** Under the stochastic links failure and actuator  
386 saturation, design a controller for the MSS on SO(3) with a  
387 static virtual leader to realize attitude consensus and attitude  
388 stabilization.

### 389 4. Attitude error function and dynamics

390 In this section, the attitude error function and the attitude  
391 error dynamic of a MSS based on SO(3) suitable for a directed  
392 topology link and with a static virtual leader are derived.

#### 393 4.1. Attitude error function on SO(3)

394 The attitude error function on SO(3) of MSS is given in the  
395 following proposition.<sup>25,10,34</sup>

396 **Proposition 1.** For the  $i$ -th spacecraft, define an attitude error  
397 function  $\Psi_i \in \mathbb{R}$ , an attitude consensus error function  $\Psi_{c,i} \in \mathbb{R}$ ,  
398 an attitude stabilization error function  $\Psi_{s,i} \in \mathbb{R}$ , an attitude  
399 consensus error vector  $\mathbf{e}_{c,i} \in \mathbb{R}^3$ , an attitude stabilization error  
400 vector  $\mathbf{e}_{s,i} \in \mathbb{R}^3$ , and an angular velocity error vector  $\mathbf{e}_{\Omega,i} \in \mathbb{R}^3$   
401 as follows:

$$402 \Psi_i = \sum_{j \in \mathcal{N}_i} \Psi_{c,i} + \Psi_{s,i} \quad (20)$$

$$\Psi_{c,i} = \frac{1}{2} \text{tr} [\mathbf{I}_3 - \mathbf{R}_j^T \mathbf{R}_i] \quad \forall j \in \mathcal{N}_i \quad (21)$$

$$\Psi_{s,i} = b_i \left( \frac{1}{2} \text{tr} [\mathbf{I}_3 - \mathbf{R}_0^T \mathbf{R}_i] \right) \quad (22)$$

$$\mathbf{e}_{c,i} = \frac{1}{2} \left( \mathbf{R}_j^T \mathbf{R}_i - \mathbf{R}_i^T \mathbf{R}_j \right)^\vee \quad \forall j \in \mathcal{N}_i \quad (23)$$

$$\mathbf{e}_{s,i} = \frac{1}{2} b_i \left( \mathbf{R}_0^T \mathbf{R}_i - \mathbf{R}_i^T \mathbf{R}_0 \right)^\vee \quad (24)$$

$$\mathbf{e}_{\Omega,i} = \mathbf{\Omega}_i - b_i \mathbf{R}_i^T \mathbf{R}_0 \mathbf{\Omega}_0 = \mathbf{\Omega}_i \quad (25)$$

420 where  $\mathbf{\Omega}_0 = \mathbf{0}$  is used in Eq. (25), because the virtual leader  
421 provides a static desired attitude. Subscripts  $i$  and  $j$  are the  
422 indexes indicating the  $i$ -th and  $j$ -th ( $i, j \in \{1, 2, \dots, N\}, i \neq j$ )  
423 spacecraft in the MSS, respectively. Subscript 0 represents  
424 the virtual leader spacecraft.

425 Then, we can get the following properties:

426 (1)  $\Psi_{c,i}$ ,  $\Psi_{s,i}$  and  $\Psi_i$  are positive semi-definite and their zeros  
427 are at  $\mathbf{R}_i = \mathbf{R}_j$ ,  $\mathbf{R}_i = \mathbf{R}_0$  and  $\mathbf{R}_i = \mathbf{R}_j = \mathbf{R}_0$ , respectively.

428 (2) The left-trivialized derivatives of  $\Psi_{c,i}$ ,  $\Psi_{s,i}$  and  $\Psi_i$  with  
429 respect to the infinitesimal variation  
430  $\delta \mathbf{R}_i = \mathbf{R}_i \hat{\boldsymbol{\eta}}$  for  $\boldsymbol{\eta} \in \mathbb{R}^3$  are given by  
431

$$432 \mathbf{D}_{\mathbf{R}_i} \Psi_{c,i} \cdot \delta \mathbf{R}_i = \sum_{j \in \mathcal{N}_i} \boldsymbol{\eta}^T \mathbf{e}_{c,i} \quad (26)$$

$$433 \mathbf{D}_{\mathbf{R}_i} \Psi_{s,i} \cdot \delta \mathbf{R}_i = \boldsymbol{\eta}^T \mathbf{e}_{s,i} \quad (27)$$

$$434 \mathbf{D}_{\mathbf{R}_i} \Psi_i \cdot \delta \mathbf{R}_i = \sum_{j \in \mathcal{N}_i} \boldsymbol{\eta}^T \mathbf{e}_{c,i} + \boldsymbol{\eta}^T \mathbf{e}_{s,i} \quad (28)$$

435 (3) The defined errors  $\mathbf{e}_{c,i}$  and  $\mathbf{e}_{s,i}$  are bounded by

$$436 0 \leq \|\mathbf{e}_{c,i}\| \leq 1 \quad (29)$$

$$437 0 \leq \|\mathbf{e}_{s,i}\| \leq b_i \quad (30)$$

438 **Proof.** According to Rodrigues function, for any  
439  $\mathbf{Q} = \mathbf{R}_j^T \mathbf{R}_i \in \text{SO}(3)$ , there exists  $\mathbf{n} \in \mathbb{R}^3$  with  $\|\mathbf{n}\| \leq \pi$  such that

$$440 \mathbf{Q} = \exp(\hat{\mathbf{n}}) = \mathbf{I}_3 + \frac{\sin \|\mathbf{n}\|}{\|\mathbf{n}\|} \hat{\mathbf{n}} + \frac{1 - \cos \|\mathbf{n}\|}{\|\mathbf{n}\|^2} \hat{\mathbf{n}}^2 \quad (31)$$

441 Substituting the foregoing equation into Eq. (21), we can  
442 obtain

$$443 \Psi_{c,i}(\mathbf{R}_j \exp(\hat{\mathbf{n}}), \mathbf{R}_j) = 1 - \cos(\|\mathbf{n}\|) \quad (32)$$

444 Therefore, it is clear that  $0 \leq \Psi_{c,i} \leq 2$  and  $\Psi_{c,i} = 0$  when  
445  $\mathbf{R}_i = \mathbf{R}_j$ . Similarly, we can get  $0 \leq \Psi_{s,i} \leq 2b_i$  and  $\Psi_{s,i} = 0$  when  
446  $\mathbf{R}_i = \mathbf{R}_0$  or  $b_i = 0$  indicating that the  $i$ -th spacecraft is not con-  
447 nected to the virtual leader spacecraft.

448 Because  $\Psi_i$  is the addition of  $\Psi_{c,i}$  and  $\Psi_{s,i}$ ,  $\Psi_i$  is also  
449 positive definite about  $\mathbf{R}_i = \mathbf{R}_j = \mathbf{R}_0$ , and  $\mathbf{R}_i = \mathbf{R}_j = \mathbf{R}_0$  is the  
450 critical point of  $\Psi_i$ . These show the above property (1).  
451

452 The infinitesimal variation of a rotation matrix can be  
453 written as  $\delta \mathbf{R} = \frac{d}{d\epsilon} \Big|_{\epsilon=0} \mathbf{R} \exp(\epsilon \hat{\boldsymbol{\eta}}) = \mathbf{R} \hat{\boldsymbol{\eta}}$  for  $\boldsymbol{\eta} \in \mathbb{R}^3$ .<sup>25</sup> By lever-  
454

aging this, the left-trivialized derivative of  $\Psi_{c,i}$  with respect to  $R_i$  is given by

$$\begin{aligned} D_{R_i} \Psi_{c,i} \cdot \delta R_i &= \left. \frac{d}{dt} \right|_{t=0} \Psi(R_i(\exp \hat{\epsilon} \hat{\eta}), R_j) \\ &= -\frac{1}{2} \text{tr} [R_j^T R_i \hat{\eta}] \end{aligned} \quad (33)$$

Using Eq. (4),  $D_{R_i} \Psi_{c,i} \cdot \delta R_i = \eta^T e_{c,i}$  is further obtained. Similarly, we can also have  $D_{R_i} \Psi_{s,i} \cdot \delta R_i = \eta^T e_{s,i}$  and  $D_{R_i} \Psi_i \cdot \delta R_i = \sum_{j \in \mathcal{N}_i} (\eta^T e_{c,i}) + \eta^T e_{s,i}$ . These show the above property (2).

Finally, substituting Eq. (31) into Eq. (23), we can obtain

$$e_{c,i} = \frac{\sin \|\mathbf{n}\|}{\|\mathbf{n}\|} \mathbf{n} \quad (34)$$

Thus,  $\|e_{c,i}\|^2 = \sin^2 \|\mathbf{n}\| \leq 1$ , which implies that  $0 \leq \|e_{c,i}\| \leq 1$ . Similarly, we can also obtain  $0 \leq \|e_{s,i}\| \leq b_i$ . These show the above property (3).

This completes the proof.

**Remark 2.** Proposition 1 defines an attitude consensus error function  $\Psi_{c,i}$  and an attitude consensus error vector  $e_{c,i}$  to deal with the attitude consensus requirements of the  $i$ -th spacecraft and the  $j$ -th spacecraft in the MSS. An attitude stabilization error function  $\Psi_{s,i}$  and an attitude stabilization error vector  $e_{s,i}$  are defined for the attitude stabilization requirements that each spacecraft stabilized to the desired attitude from the virtual leader spacecraft. The attitude error function Eq. (20) includes both attitude consensus error and attitude stabilization error, corresponding to the control objective of this work. The critical point of  $\Psi_i$  is  $R_i = R_j = R_0$ , which ensures the realization of control objective. In addition, the parameter  $b_i$  in  $\Psi_i$  indicates whether the  $i$ -th spacecraft is connected to the virtual leader spacecraft, i.e., it determines whether the attitude stabilization requirements need to be considered for the  $i$ -th spacecraft.

**Remark 3.** Compared with the previous attitude error function of MSS<sup>16,18,24</sup> that only considers the attitude consensus error, an attitude error function including both attitude consensus error and attitude stabilization error on SO(3) is proposed in this work. Therefore, the proposed attitude error function  $\Psi_i$  in Eq. (20) can be applied for a directed topology link with a static virtual leader.

#### 4.2. Attitude error dynamics on SO(3)

In this section, we derive the attitude error dynamics of the  $i$ -th spacecraft in the following proposition.

**Proposition 2.** The attitude error dynamics of the  $i$ -th spacecraft for the proposed  $\Psi_i, \Psi_{c,i}, \Psi_{s,i}, e_{c,i}, e_{s,i}$ , and  $e_{\Omega,i}$  satisfy

$$\dot{\Psi}_i = \sum_{j \in \mathcal{N}_i} \dot{\Psi}_{c,i} + \dot{\Psi}_{s,i} \quad (35)$$

with

$$\dot{\Psi}_{c,i} = (\Omega_i - R_i^T R_j \Omega_j)^T e_{c,i} \forall j \in \mathcal{N}_i \quad (36)$$

$$\dot{\Psi}_{s,i} = e_{\Omega,i}^T e_{s,i} \quad (37)$$

$$\dot{e}_{c,i} = (\text{tr} [R_i^T R_j] I_3 - R_i^T R_j) (\Omega_i - R_i^T R_j \Omega_j) \quad (38)$$

$$\dot{e}_{s,i} = b_i (\text{tr} [R_i^T R_0] I_3 - R_i^T R_0) e_{\Omega,i} \quad (39)$$

$$\dot{e}_{\Omega,i} = J_i^{-1} (-\hat{\Omega}_i J_i \Omega_i + \bar{u}_i + d_i) \quad (40)$$

**Proof.** For any desired attitude  $R_0 \in \text{SO}(3)$ ,  $R_0^T R_0 = I_3$ . Then, taking the time derivative on both sides results in  $\dot{R}_0^T R_0 + R_0^T \dot{R}_0 = \theta$ , which further implies

$$\dot{R}_0^T = -R_0^T \dot{R}_0 R_0^T. \quad (41)$$

Then, in view of Eq. (41), the derivative of  $R_0^T R_i$  is obtained as

$$\begin{aligned} R_0^T \dot{R}_i + \dot{R}_0^T R_i &= R_0^T [R_i \hat{\Omega}_i - R_0 \hat{\Omega}_0 (R_0^T R_i)] \\ &= R_0^T R_i (\Omega_i - R_i^T R_0 \Omega_0)^\wedge \end{aligned} \quad (42)$$

where Eq. (6) is used. In addition, since the static task is considered, i.e.,  $\Omega_0 = \theta$ , it follows that

$$R_0^T \dot{R}_i + \dot{R}_0^T R_i = R_0^T R_i \Omega_i \quad (43)$$

Following the above derivation, we can obtain

$$R_j^T \dot{R}_i + \dot{R}_j^T R_i = R_j^T R_i (\Omega_i - R_i^T R_j \Omega_j)^\wedge \quad (44)$$

Then, it is clear from Eq. (21) that

$$\begin{aligned} \dot{\Psi}_{c,i} &= -\frac{1}{2} \text{tr} [R_j^T \dot{R}_i + \dot{R}_j^T R_i] = -\frac{1}{2} \text{tr} [R_j^T R_i (\Omega_i - R_i^T R_j \Omega_j)^\wedge] \\ &= (\Omega_i - R_i^T R_j \Omega_j)^T (R_j^T R_i - R_i^T R_j)^\vee \end{aligned} \quad (45)$$

where the property given in Eq. (4) is used. Similarly, by leveraging Eq. (43) and Eq. (4), we can also show Eq. (37). Then, we show Eq. (37)

$$\begin{aligned} \dot{e}_{c,i} &= (R_j^T R_i (\Omega_i - R_i^T R_j \Omega_j)^\wedge + (\Omega_i - R_i^T R_j \Omega_j)^\wedge R_i^T R_j)^\vee \\ &= (\text{tr} [R_i^T R_j] I - R_i^T R_j) (\Omega_i - R_i^T R_j \Omega_j) \end{aligned} \quad (46)$$

where Eq. (44) and Eq. (5) are used. Similarly, by using Eq. (43) and Eq. (5), we can show Eq. (39).

Moreover, since the inertia matrix of each spacecraft is positive definite, according to Eq. (12), it is trivial to get Eq. (40).

This completes the proof.

### 5. Controller design

In this section, we solve Problem 1 by proposing an attitude controller approach for the MSS on SO(3) to achieve attitude consensus and attitude stabilization with the stochastic links failure.

In light of Eq. (36), Eq. (37) and Eq. (40), an attitude controller can be designed as

$$u_i = \chi \circ \left( -k_1 \sum_{j \in \mathcal{N}_i} a_{ij}(p_{ij}) e_{c,i} - k_2 a_{i,0}(p_{i,0}) e_{s,i} - (k_3 + k_4) \frac{\|\Omega_i\|^2}{\|\Omega_i\| + \kappa_i^2} + I_i \right) \quad (47)$$

with

$$\dot{\kappa} = -\gamma_i \frac{(k_3 + k_4) \kappa_i \|\Omega_i\|}{\|\Omega_i\| + \kappa_i^2} \quad (48)$$

where  $\chi = \left[ \frac{1}{\rho_{i,1,0}}, \frac{1}{\rho_{i,2,0}}, \frac{1}{\rho_{i,3,0}} \right]^T \in \mathbb{R}^3; k_1, k_2, k_3, k_4 > D_{i,\max}$  and  $\gamma_i$  are positive constants.

Using the proposed attitude controller Eq. (47), the stability of the MSS is summarized as the following theorem.

**Theorem 1.** For the attitude error kinematics and dynamics on SO(3) represented by Eq. (35) and Eq. (40), the proposed attitude controller Eq. (47) and adaptive update law Eq. (48) with  $k_3 > \max\{k_1, k_2\} \mathcal{S}$  and  $k_4 > D_{i,\max}$ , where  $\mathcal{S} \triangleq 2\|\mathcal{D}\|_1 + \|\mathcal{Q}\|_1 + 1$  ensures that the attitude of MSS can almost surely achieve consensus and stabilization despite stochastic links failure.

**Proof.** Consider the following Lyapunov candidate function:

$$V = \sum_{i=1}^N \left( \frac{1}{2} \Omega_i^T J_i \Omega_i + k_5 \Psi_i + \frac{1}{\gamma_i} \kappa_i^2 \right) \quad (49)$$

where  $k_5 = \max\{k_1, k_2\}$ . Substituting the attitude dynamics Eq. (40) and the attitude controller Eq. (47) into the time derivative of  $V$  yields

$$\dot{V} \leq \sum_{i=1}^N \left( k_5 \sum_{j \in \mathcal{N}_i} a_{ij}(p_{ij}) \|e_{c,i}\| \|\Omega_i\| - (k_4 - D_{i,\max}) \|\Omega_i\| + k_5 (1 - a_{i,0}(p_{i,0})) \|e_{s,i}\| \|\Omega_i\| - k_3 \|\Omega_i\| + k_5 \sum_{j \in \mathcal{N}_i} \|e_{c,i}\| \|\Omega_i - R_i^T R_j \Omega_j\| \right) \quad (50)$$

where the fact  $\Omega_i^T \dot{\Omega}_i = 0$  is used. Then, due to  $\|R_i^T R_j\| \leq 1$ ,

$$\|\Omega_i - R_i^T R_j \Omega_j\| \leq \|\Omega_i\| + \|\Omega_j\| \quad (51)$$

Moreover, according to  $\|e_{c,i}\| \leq 1$  and  $\|e_{s,i}\| \leq b_i$  from Proposition 1 along with the fact that  $b_i \in \{0, 1\}, a_{ij}(p_{ij}) \in \{0, 1\}, a_{i,0}(p_{i,0}) \in \{0, 1\}$  and  $\mathcal{D}_i = \sum_{j \in \mathcal{N}_i} \alpha_{ij} \leq \|\mathcal{D}\|_1$  where  $\|\cdot\|_1$  represents the 1-norm of the matrix, it follows from Eq. (50) and Eq. (51) that

$$\dot{V} \leq \sum_{i=1}^N \left( k_5 \sum_{j \in \mathcal{N}_i} [1 + a_{ij}(p_{ij})] \|e_{c,i}\| \|\Omega_i\| + k_5 \sum_{j \in \mathcal{N}_i} \|e_{c,i}\| \|\Omega_j\| - (k_3 - k_5 [1 - a_{i,0}(p_{i,0})]) \|e_{s,i}\| \|\Omega_i\| \right) \quad (52)$$

Further, we can obtain

$$\begin{aligned} \dot{V} &\leq \sum_{i=1}^N \left( 2k_5 \mathcal{D}_i \|\Omega_i\| - (k_3 - k_5) \|\Omega_i\| + k_5 \sum_{j \in \mathcal{N}_i} \|\Omega_j\| \right) \\ &\leq \sum_{i=1}^N \left( -[k_3 - k_5 (2\|\mathcal{D}\|_1 + 1)] \|\Omega_i\| + k_5 \sum_{i=1}^N \sum_{j \in \mathcal{N}_i} \|\Omega_j\| \right) \end{aligned} \quad (53)$$

Recognizing that

$$\sum_{i=1}^N \sum_{j \in \mathcal{N}_i} \|\Omega_j\| \leq \sum_{i=1}^N \|\mathcal{Q}\|_1 \|\Omega_i\| \quad (54)$$

we can substitute it into Eq. (53) and obtain

$$\dot{V} \leq -[k_3 - k_5 (2\|\mathcal{D}\|_1 + \|\mathcal{Q}\|_1 + 1)] \sum_{i=1}^N \|\Omega_i\| \quad (55)$$

As a consequence, if the control gains are selected to satisfy  $k_4 > D_{i,\max}, k_3 > k_5 \mathcal{S} > \max\{k_1, k_2\} \mathcal{S}$

where  $\mathcal{S} \triangleq 2\|\mathcal{D}\|_1 + \|\mathcal{Q}\|_1 + 1$ , we can obtain that  $\dot{V}$  is negative semidefinite. Then, by invoking the generalized invariance principle for nonautonomous systems,<sup>35,24</sup> we can conclude

$$\lim_{t \rightarrow \infty} \Omega_i \equiv \mathbf{0}_{3 \times 1} \quad (57)$$

Thus,  $\Omega_i = 0$  and  $\dot{\Omega}_i = 0$  as  $t \rightarrow \infty$

Then, substituting the conclusion into attitude error dynamics Eq. (40) and controller Eq. (47) yields

$$\sum_{j \in \mathcal{N}_i} a_{ij}(p_{ij}) e_{c,i} + a_{i,0}(p_{i,0}) e_{s,i} = \mathbf{0}_{3 \times 1} \quad t \rightarrow \infty \quad (58)$$

Considering that  $a_{ij}(p_{ij})$  and  $a_{i,0}(p_{i,0})$  are stochastic variables, computing expectations on both sides of Eq. (58) leads to

$$\sum_{j \in \mathcal{N}_i} p_{ij}(t) e_{c,i} + p_{i,0} e_{s,i} = \mathbf{0}_{3 \times 1} \quad (59)$$

as  $t \rightarrow \infty$ . In view of Eq. (38), Eq. (39) and Eq. (40),  $\dot{e}_{c,i} = \dot{e}_{s,i} = \mathbf{0}_{3 \times 1}$ , i.e., as  $t \rightarrow \infty, e_{c,i} \in \mathbb{R}^3$  and  $e_{s,i} \in \mathbb{R}^3$  are constant vectors. According to Assumption 2, for the  $i$ -th spacecraft  $\exists L_i = \mathcal{D}_i + b_i$  vectors and

$$\sum_{j \in \mathcal{N}_i} \left( e_{c,i}(q) \begin{bmatrix} p_{ij}(t_{i,1}) \\ p_{ij}(t_{i,2}) \\ \vdots \\ p_{ij}(t_{i,L_i}) \end{bmatrix} + e_{s,i}(q) \begin{bmatrix} p_{i,0}(t_{i,1}) \\ p_{i,0}(t_{i,2}) \\ \vdots \\ p_{i,0}(t_{i,L_i}) \end{bmatrix} \right) = \mathbf{0}_{L_i \times 1} \quad (60)$$

where  $X(q)$  with  $q = 1, 2, 3$  represents the  $q$ -th number of  $X$ . Then, by using the vector linear independence theorem, we can further obtain  $e_{c,i} \rightarrow \mathbf{0}_{3 \times 1}, j \in \mathcal{N}_i$  and  $e_{s,i} \rightarrow \mathbf{0}_{3 \times 1}$  as  $t \rightarrow \infty$ , which can be further expressed as

$$\begin{aligned} \mathbb{P} \left\{ \left\| (R_i^T R_i - R_i^T R_j)^\vee \right\| > \varepsilon_1 \right\} &= 0 \quad \forall j \in \mathcal{N}_i, t \rightarrow \infty \\ \mathbb{P} \left\{ \left\| (R_0^T R_i - R_i^T R_0)^\vee \right\| > \varepsilon_2 \right\} &= 0 \quad t \rightarrow \infty \end{aligned} \quad (61)$$

where  $\varepsilon_1$  and  $\varepsilon_2$  are any positive minimum. Then, by defining the filtration

$$\mathcal{F}_t = \{ [R_i(\varrho)^T, R_j(\varrho)^T, \Omega(\varrho)_i^T], 0 \leq \varrho \leq t \} \quad (62)$$

the following three conditions can be obtained:

- (1) For the MSS, the Lyapunov

$$V(t) = \sum_{i=1}^N \left( \frac{1}{2} \Omega_i^T(t) J_i \Omega_i(t) + k_5 \Psi_i(t) + \frac{1}{\gamma_i} \kappa_i^2(t) \right) \quad (63)$$

can be regarded as a stochastic process, and  $V(t)$  is  $\mathcal{F}_t$ -measurable for any time  $t$ . Since  $V(t)$  is determined by

672  $\mathbf{R}_i(\varrho), \mathbf{R}_j(\varrho)$ , and  $\mathbf{\Omega}(\varrho)$ , as well as their history,  $V(t)$  only  
673 depends on  $\{\mathcal{F}_s, 0 \leq s \leq t\}$ , and thus  $V(t)$  is deter-  
674 mined for the filtration  $\mathcal{F}_t$ .

675 (2) Given the result of the Lyapunov analysis  $\dot{V}(t) \leq 0$ , we  
676 have  $\mathbf{R}_i(\varrho), \mathbf{R}_j(\varrho)$ , and  $\mathbf{\Omega}(\varrho)_i$  are bounded. Therefore,  
677  $V(t)$  is bounded. Thus, which  $\mathbb{E}\{V(t)\}$  is also bounded.

678 (3) Since  $\dot{V}(t) \leq 0$ , we know  $V(t) \leq V(s)$  if  $t \geq s$ . In view of  
679 the fact that  $V(t)$  is measurable for any  $t$  and according  
680 to the property of conditional expectation,

$$683 \mathbb{E}\{V(t)|\mathcal{F}_s\} = V(t) \leq V(s) \quad t \geq s \quad (64)$$

685 As a consequence, the above three conditions yield that  
686  $V(t)$  is super-martingale. Then, according to Lemma 1, we  
687 know that

$$690 \lim_{t \rightarrow \infty} V(t) \rightarrow V_f \quad (65)$$

691 where  $V_f$  is a nonnegative finite real number. From the Lya-  
692 punov function

$$695 V(t) = \sum_{i=1}^N \left( \frac{1}{2} \mathbf{\Omega}_i^T(t) \mathbf{J}_i \mathbf{\Omega}_i(t) + k_3 \Psi_i(t) + \frac{1}{\gamma_i} \kappa_i^2(t) \right) \quad (66)$$

696 and  $t \rightarrow \infty$ ,  $\mathbf{\Omega}_i = \mathbf{0}$ , we have

$$699 \lim_{t \rightarrow \infty} \Psi_i \stackrel{\text{a.s.}}{\rightarrow} V_f \quad i = 1, 2, \dots, N \quad (67)$$

700 Due to the fact that  $\Psi_i$  is positive definite about  $\mathbf{R}_i = \mathbf{R}_j = \mathbf{R}_0$ ,  
701 and Eq. (61), we can conclude that  $V_f = 0$ . Then, the critical  
702 point of  $\Psi_i$  is  $\mathbf{R}_i = \mathbf{R}_j = \mathbf{R}_0$ . Therefore,

$$705 \lim_{t \rightarrow \infty} \mathbf{R}_i \stackrel{\text{a.s.}}{\rightarrow} \mathbf{R}_j \stackrel{\text{a.s.}}{\rightarrow} \mathbf{R}_0, \quad \forall i = 1, 2, \dots, N \quad (68)$$

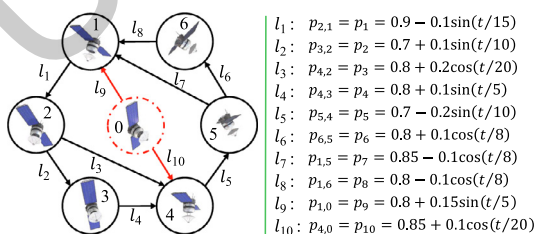
706 This is equivalent to

$$709 \mathbb{P}\{\lim_{t \rightarrow \infty} \mathbf{R}_i = \mathbf{R}_j = \mathbf{R}_0\} = 1 \quad \forall i = 1, 2, \dots, N. \quad (69)$$

710 This implies that the spacecraft attitude in the MSS tends to be  
711 consistent, and stable at the desired attitude provided by the  
712 virtual leader spacecraft.

713 This completes the proof.

714 **Remark 4.** The gains  $k_1$  and  $k_2$  in controller Eq. (47) are equiv-  
715 alent to the proportional coefficient in a PD controller, and  $k_3$   
716 is equal to the derivative coefficient. The larger  $k_1$  and  $k_2$  are  
717 chosen, the faster the attitude error converges, but it will cause  
718 system oscillation, and it is necessary to increase  $k_3$  at the same  
719 time.  $k_4$  is the coefficient used to counteract external distur-  
720 bances. Once the value  $D_{i,\max}$  is determined based on experi-  
721 ence, an appropriate value of  $k_4$  can be selected. Therefore,  
722 we can select the appropriate values of  $k_1, k_2, k_3$  and  $k_4$  when  
723 condition Eq. (56) is satisfied.



714 **Fig. 2** Communication links between spacecraft with probability  
715 of successful connection.

**Remark 5.** The Eq. (59) is expressed as  $\sum_{j \in \mathcal{N}_i} p_{ij}(t) \mathbf{e}_{c,i} = \mathbf{0}_{3 \times 1}$  without item related to the virtual leader in the study of Rezaee and Abdollahi,<sup>24</sup> so the conclusion of  $\mathbf{e}_{c,i} \rightarrow \mathbf{0}_{3 \times 1}, j \in \mathcal{N}_i$  as  $t \rightarrow \infty$  can be obtained directly. The conclusion that  $\mathbf{e}_{c,i} \rightarrow \mathbf{0}_{3 \times 1}, j \in \mathcal{N}_i$  and  $\mathbf{e}_{s,i} \rightarrow \mathbf{0}_{3 \times 1}$  as  $t \rightarrow \infty$  cannot be directly obtained by introducing the communication links related to the virtual leader. However, this work can draw this conclusion ( $\mathbf{e}_{c,i} \rightarrow \mathbf{0}_{3 \times 1}, j \in \mathcal{N}_i$  and  $\mathbf{e}_{s,i} \rightarrow \mathbf{0}_{3 \times 1}$  as  $t \rightarrow \infty$ ) under Assumption 2, which is the most significant difference from Rezaee and Abdollahi.<sup>24</sup> Therefore, we can not only achieve the attitude consensus of MSS, but also achieve the attitude stabilization under stochastic links failure.

## 6. Simulation results

In this section, the effectiveness of the proposed attitude controller is demonstrated by numerical simulation for the MSS with stochastic links failure.

We consider a leader–follower MSS composed of six spacecraft and a virtual leader spacecraft in the numerical simulation. The communication links among spacecraft and the probability of successful connection of each link are shown in Fig. 2. Obviously, the connection probabilities  $p_{ij}(t) \in (0, 1]$  and  $p_{i,0}(t) \in (0, 1]$  and the connectivity probabilities of all links satisfy Assumption 2 and  $\|\mathcal{L}\|_1 = 2, \|\mathcal{L}\|_2 = 2$ .

To simulate the stochastic links failures, the following random numbers associated with each link are introduced:

$$c_{ij} = \text{rand}(1) \quad i \in \{1, 2, \dots, 6\}, j \in \mathcal{N}_i \quad (70)$$

$$c_{i,0} = \text{rand}(1) \quad i \in \{1, 4\}$$

where  $\text{rand}(1) \in [0, 1]$  is a random number. Then, the connectivity of each link can be expressed as

$$a_{ij}(p_{ij}) = \begin{cases} 1 & c_{ij} \leq p_{ij} \\ 0 & c_{ij} > p_{ij} \end{cases}, \quad \text{and } j \in \mathcal{N}_i \quad (71)$$

$$a_{i,0}(p_{i,0}) = \begin{cases} 1 & c_{i,0} \leq p_{i,0} \\ 0 & c_{i,0} > p_{i,0} \end{cases}, \quad i \in \{1, 4\}$$

The inertia matrices of the MSS are given as

$$\mathbf{J}_i = \begin{bmatrix} 60 & 0 & -5 \\ 0 & 65 & 0 \\ -5 & 0 & 70 \end{bmatrix} \text{ kg} \cdot \text{m}^2 \quad i = 1, 2, \dots, 6 \quad (72)$$

The external disturbance of each spacecraft is

$$\mathbf{d}_i = 10^{-3} \times \begin{bmatrix} -1 + 3 \cos(0.1it) + 4 \sin(0.03it) \\ 1.5 - 1.5 \sin(0.02it) - 3 \cos(0.05it) \\ 1 + \sin(0.1it) - 1.5 \cos(0.04it) \end{bmatrix} \text{ N} \cdot \text{m} \quad (73)$$

where  $i = 1, 2, \dots, 6$ . The saturation limit of the actuators of the  $i$ -th spacecraft is given as  $u_{i,p,\text{sat}} = 1 \text{ N} \cdot \text{m}, p = 1, 2, 3$ , resulting in  $\|\mathbf{u}_i\| \leq \sqrt{3} \text{ N} \cdot \text{m}$ .

The initial states of the MSS are given in Table 1, and the map  $\mathbf{R} = \exp(\theta, \mathbf{n}) \rightarrow \text{SO}(3)$  is defined as

$$\mathbf{R} = \exp(\theta, \mathbf{n}) = \mathbf{I}_3 + \sin(\theta) \hat{\mathbf{n}} + (1 - \cos(\theta)) \hat{\mathbf{n}}^2 \quad (74)$$

In addition, the desired attitude  $\mathbf{R}_0 = \mathbf{I}_3$ , and the corresponding desired unit-quaternion  $\mathbf{Q}_d = [\mathbf{q}_d^T, q_d]^T = [0, 0, 0, 1]^T$ . In



**Table 1** Initial states of MSS.

No.	$R_i(0) = \exp(\theta_i(0), \mathbf{n}_i(0))$				$\Omega_i(0)$ (rad/s)		
1	$\theta_1(0) = -10^\circ, \mathbf{n}_1(0) = [0, 0, 1]^T$				$[0.1, 0.05, -0.2]^T$		
2	$\theta_2(0) = 135^\circ, \mathbf{n}_2(0) = \frac{[0, 1, 1]^T}{\ [0, 1, 1]\ }$				$[0, 0.06, 0.2]^T$		
3	$\theta_3(0) = 175^\circ, \mathbf{n}_3(0) = \frac{[1, 0, 1]^T}{\ [1, 0, 1]\ }$				$[-0.1, 0.3, -0.05]^T$		
4	$\theta_4(0) = 70^\circ, \mathbf{n}_4(0) = [0, 1, 0]^T$				$[-0.03, 0.5, -0.2]^T$		
5	$\theta_5(0) = 225^\circ, \mathbf{n}_5(0) = [1, 0, 0]^T$				$[0.3, 0, -0.2]^T$		
6	$\theta_6(0) = -80^\circ, \mathbf{n}_6(0) = \frac{[1, 1, 0]^T}{\ [1, 1, 0]\ }$				$[-0.1, -0.1, 0]^T$		

	A	C	E	G	X	N
	B	D	F	H	Y	M
Case No.	500 s	750 s	500 s	750 s	500 s	750 s
Case 1	1	2	3	4	5	6
Case 1	7	8	9	10	11	12
Case 1	13	14	15	16	17	18

775 addition, the unit-quaternion attitude consensus error is com-  
 776 puted as  $\mathbf{Q}_{c,e,i} = [q_{c,e,i}^T, q_{c,e,i}]^T = \sum_{j \in \mathcal{N}_i} \mathbf{Q}_j^* \otimes \mathbf{Q}_i$ , where  $\otimes$  is the  
 777 quaternion multiplication operator,<sup>36</sup>  $\mathbf{Q}_i$  is the current attitude  
 778 of the  $i$ -th spacecraft. The unit-quaternion attitude stabiliza-  
 779 tion error is computed as  $\mathbf{Q}_{s,e,i} = [q_{s,e,i}^T, q_{s,e,i}]^T = \mathbf{Q}_d^* \otimes \mathbf{Q}_i$ .  
 780 Next, we consider two parts of numerical simulation to illus-  
 781 trate the performance of the proposed controller Eq. (47)  
 782 under different stochastic links modeling methods.

783 *6.1. Comparison of different control situations*

784 In this subsection, the proposed controller based on SO(3) for  
 785 a leader–follower MSS and the existing controller based on  
 786 unit-quaternion for a leaderless MSS in the study of Rezaee  
 787 and Abdollahi<sup>24</sup> are compared to illustrate that the proposed  
 788 one can avoid fuzziness of unit-quaternion and reach the  
 789 desired attitude. Three control situations are considered.

790 **Situation 1.** The proposed controller Eq. (47) is applied to  
 791 the SO(3)-based leader–follower MSS with stochastic links  
 792 failure. In this control situation, we set  
 793  $k_1 = k_2 = 10.5, k_3 = 150$  to meet the condition Eq. (56).

794 **Situation 2.** The attitude consensus controller (4) in the  
 795 study of Rezaee and Abdollahi<sup>24</sup> acts on a leaderless MSS  
 796 using the unit-quaternion with stochastic links failure. The  
 797 control parameters are set as  $\gamma = 10.5$  and  $k_i = 150$ .

798 **Situation 3.** It is the same with Situation 2, but the initial  
 799 unit-quaternions  $\mathbf{Q}_i(0)$  of the Spacecrafts 1, 3, 4 and 6  
 800 are changed to  $-\mathbf{Q}_i(0)$  ( $\mathbf{Q}_i(0)$  and  $-\mathbf{Q}_i(0)$  are the same attitude).

801 In this subsection, the stochastic links failure in the three  
 802 situations of interest may occur at each sampling instance with  
 803 a sampling period  $T_{\text{step}} = 0.02$  s, i.e., random numbers  $c_{i,j}$  or  
 804  $c_{i,0}$  are generated at each sampling instance to determine the  
 805 connectivity of the communication links according to Eq. (71).

806 Figs. 3–5 show the time history of attitude consensus error,  
 807 attitude stabilization error, angular velocity and control torque  
 808 under different modeling methods of MSS, respectively. For  
 809 the leader–follower MSS on SO(3), the proposed controller  
 810 Eq. (47) can achieve attitude consensus and attitude stabiliza-

tion, where the attitude consensus is completed in 150 s with  
 steady-state error  $\Psi_{c,i} \leq 5 \times 10^{-6}$ , as shown in Fig. 3(a). The  
 attitude stabilization is completed in 300 s with steady-state  
 error  $\Psi_{s,i} \leq 3 \times 10^{-5}$ , as shown in Fig. 3(b). Moreover, the  
 angular velocity  $\|\Omega_i\|$ , as shown in Fig. 3(c), tends to be stable  
 at 300 s with the steady-state error  $\|\Omega_i\| \leq 5 \times 10^{-3}$  °/s. In  
 addition, it can be seen from the controller Eq. (47) that con-  
 sidering the stochastic links failure,  $a_{i,j}(p_{i,j})$  and  $a_{i,0}(p_{i,0})$  have a  
 probability of 1 or 0, which sometimes leads to the absence of  
 the attitude consistency error  $e_{c,i}$  and the attitude stabilization  
 error  $e_{s,i}$ , which further leads to the jump fluctuation of the  
 controller output, as observed in Fig. 3(d).

From Fig. 3(d) and Fig. 5(a), the controller (4) in the study  
 of Rezaee and Abdollahi<sup>24</sup> can achieve attitude consensus  
 under stochastic links failure. Since the controller (4) in the  
 study of Rezaee and Abdollahi<sup>24</sup> is only applicable to the lead-  
 erless MSS, the attitude stabilization and convergence to the  
 desired attitude cannot be guaranteed, as observed in Fig. 5  
 (a), and Fig. 5(b). In addition, because the initial unit-  
 quaternions  $\mathbf{Q}_i(0)$  of the Spacecrafts 1, 3, 4 and 6 are changed  
 to  $-\mathbf{Q}_i(0)$ , the actual attitude of the spacecraft is not changed.  
 However, the attitude stabilization errors of the two  
 approaches are not equal, indicating that although the final  
 attitude of the MSS has achieved attitude consensus, the con-  
 verged attitude is different. This may lead to the failure of the  
 observation mission. The process of angular velocity (Fig. 5(b)  
 and Fig. 5)) and control torque (Fig. 5)) and Fig. 5(d)) also  
 show that the attitude convergence of MSS based on unit-  
 quaternion is different in Situation 2 and Situation 3. On the  
 contrary, because the rotation represented by Lie group  
 SO(3) is unique, the proposed controller Eq. (47) using  
 SO(3)-based modeling method avoids this unwinding issue.

6.2. Comparison of different stochastic links failure modelings

In the previous subsection, the connectivity of the communica-  
 tion links is considered to be nondeterministic at each sam-  
 pling instance, i.e., the failure or reconstruction of the link  
 connection may occur at each sampling instance (cf.

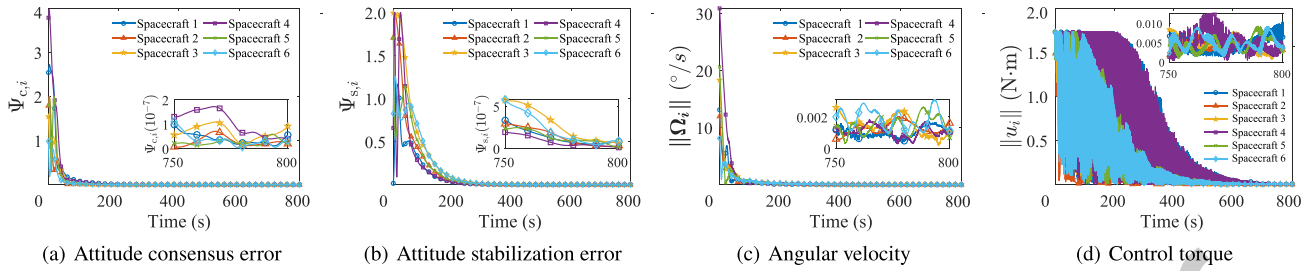


Fig. 3 Time history of attitude state of each spacecraft in MSS under Situation 1.

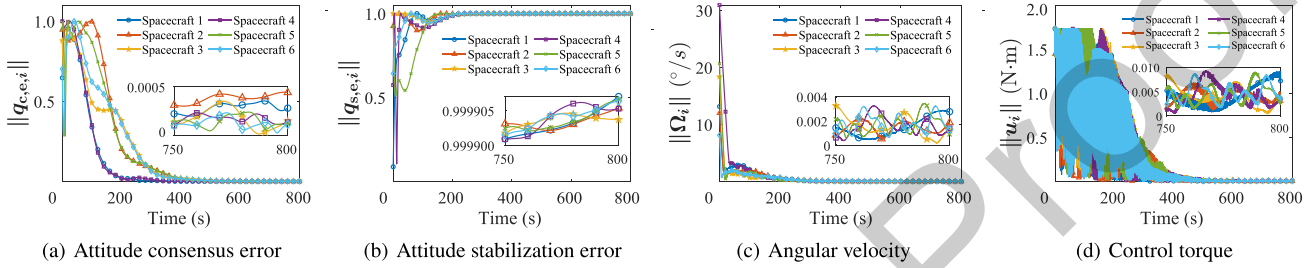


Fig. 4 Time history of attitude state of each spacecraft in MSS under Situation 2.

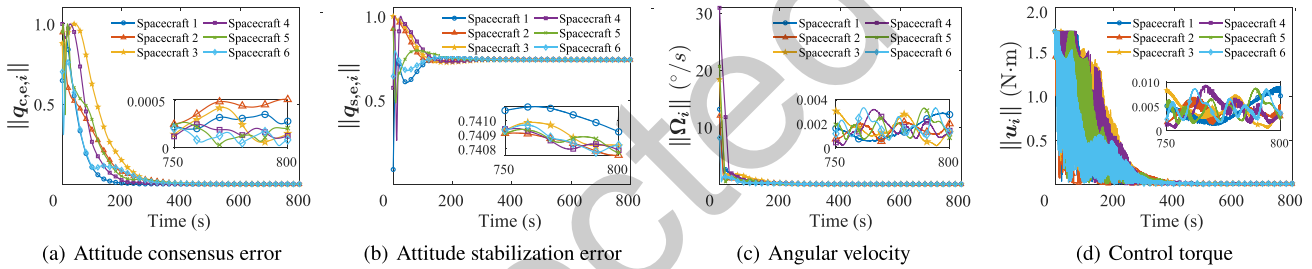


Fig. 5 Time history of attitude state of each spacecraft in MSS under Situation 3.

848  $T_{\text{step}} = 0.02$  s), which could result in too fast connectivity  
 849 change. In practice, the connectivity of the link can be  
 850 regarded as unchanged in every finite time interval  $T$ , i.e.,  
 851 the links failures happen in a periodic manner. We consider  
 852 different methods of selecting the instance  $d_k$ , at which the  
 853 stochastic communication failures occur, to model the periodic-  
 854 ally happened stochastic links failures. Specifically, we consider  
 855 the following three cases that the instance  $d_k$  is selected.

856 **Case 1.** The stochastic failure of each link occurs asyn-  
 857 chronously, which is modeled by

$$860 d_k = \text{mod}(t + (k - 1)\Delta t, T) \quad k = 1, 2, \dots, 10 \quad (75)$$

861 **Case 2.** The stochastic failure of each link occurs concu-  
 862 rrently, which is modeled by

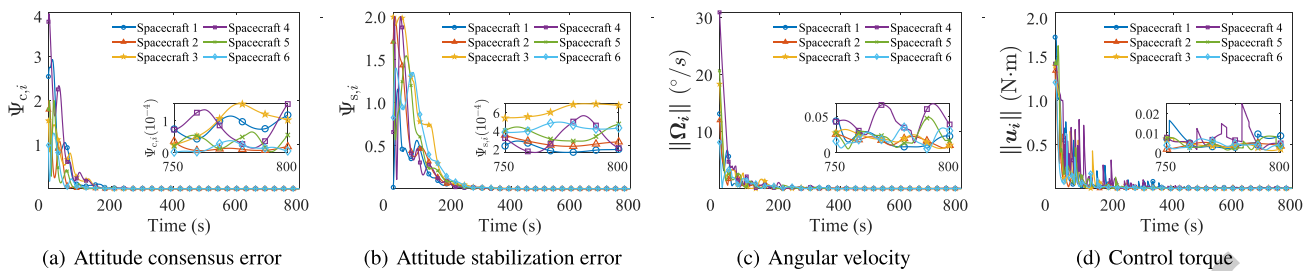
$$865 d_k = \text{mod}(t, T) \quad k = 1, 2, \dots, 10 \quad (76)$$

866 **Case 3.** The stochastic links failure does not occur, i.e., the  
 867 communication links are always connected. That is,  $\forall t$ , con-  
 868 troller Eq. (47) with  $a_{ij}(p_{ij}) = a_{i,0}(p_{i,0}) = 1$ , where  
 869  $i \in \{1, 2, \dots, 6\}$  and  $j \in \mathcal{N}_i$ . where  $\Delta t = 3$  s and  $T = 27$  s  
 870 denote the time delay and the generation interval in the simu-  
 871 lation, respectively. In addition,  $\text{mod}(a, m)$  is the modulo operation  
 872 and returns the remainder after division of  $a$  by  $m$ . Then,  
 873 the value of  $d_k$  can be used to determine whether a new ran-

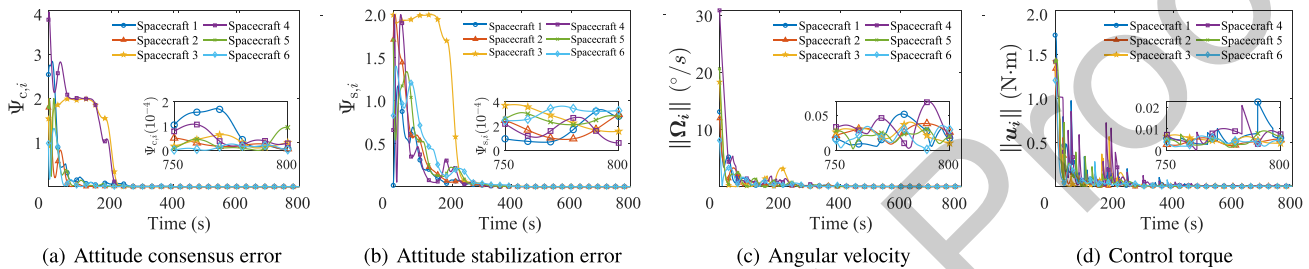
874 dom number is generated for the  $l_k$ -th link. If  $d_k = 0$ , a new  
 875 random number  $c_{i,j}$  or  $c_{i,0}$  is generated, otherwise the previous  
 876 random number is maintained. These simulate the periodic  
 877 occurrence of stochastic links failure.

878 In this subsection, the performance of the SO(3)-based leader-  
 879 follower MSS using the proposed controller Eq. (47)  
 880 under the foregoing three stochastic links failure modeling  
 881 methods is compared. The controller parameters of Eq. (47)  
 882  $k_1 = k_2 = 0.6, k_3 = 10$  are selected to satisfy condition Eq.  
 883 (56).

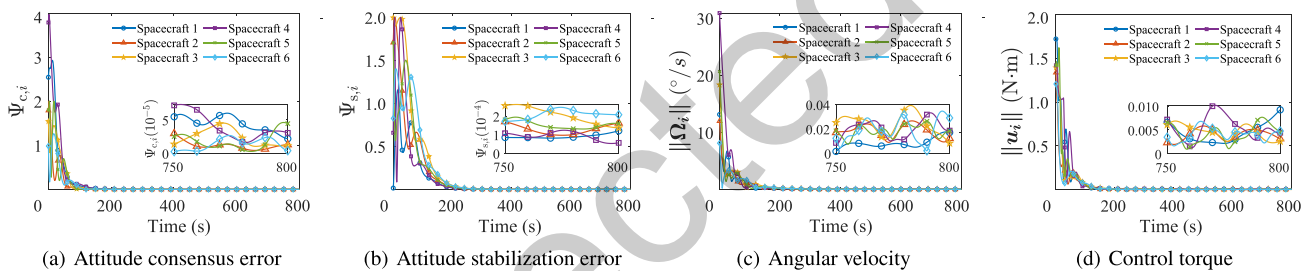
884 Figs. 6–8 show the time history of attitude consensus error,  
 885 attitude stabilization error, angular velocity and control torque  
 886 of each spacecraft on SO(3) under the proposed controller Eq.  
 887 (47) with three modeling methods of the stochastic links failure,  
 888 respectively. It is observed that the proposed controller  
 889 Eq. (47) can realize attitude consensus and attitude stabilization  
 890 control of the MSS under different modeling methods  
 891 of the stochastic links failure. When the stochastic links failure  
 892 does not change at the same time (Case 1), the complexity of  
 893 the control problem increases. On one hand, both the attitude  
 894 consensus convergence speed and attitude stabilization conver-  
 895 gence speed are slower than those when the stochastic links  
 896 failure changes at the same time (Case 2), or those without  
 897 stochastic links failure (Case 3). On the other hand, the conver-



**Fig. 6** Time history of attitude state of each spacecraft on SO(3) proposed controller Eq. (47), in which the stochastic links failure model is constructed as Case 1.



**Fig. 7** Time history of attitude state of each spacecraft on SO(3) under proposed controller Eq. (47) in Case 2.



**Fig. 8** Time history of attitude state of each spacecraft on SO(3) under proposed controller Eq. (47) in Case 3.

**Table 2** Comparison of three stochastic links failure modeling methods.

Case No.	Consensus Steady-state $\Psi_{c,i}$		Stabilization Steady-state $\Psi_{s,i}$		Angular velocity Steady-state $\ \Omega_i\ $ (°/s)	
	500 s	750 s	500 s	750 s	500 s	750 s
Case1	$2.8 \times 10^{-5}$	$8 \times 10^{-7}$	$1.8 \times 10^{-4}$	$3 \times 10^{-6}$	0.025	$5 \times 10^{-3}$
Case2	$1.2 \times 10^{-5}$	$1.5 \times 10^{-7}$	$8.5 \times 10^{-4}$	$4 \times 10^{-7}$	0.02	$2 \times 10^{-3}$
Case3	$1.6 \times 10^{-6}$	$2.3 \times 10^{-9}$	$1 \times 10^{-5}$	$2 \times 10^{-8}$	$3.5 \times 10^{-3}$	$1.5 \times 10^{-4}$

gence accuracy is lower than that of the other two stochastic links failure modeling methods, and more detailed comparison is shown in Table 2. It is considered that the stochastic links failure will delay the time of attitude convergence and cause the jump fluctuation of controller output.

In addition, it is noted that the stochastic links failure model of Situation 1 of the previous subsection is constructed to occur at each sampling time ( $T_{step} = 0.02$  s), resulting in high-frequency oscillation of control torque (cf. Fig. 3(d)) due to the frequent link failures. This is an extreme situation in actual space missions, and may occur rarely. In real MSS,

the stochastic links failure modes in Case 1 and Case 2 of this subsection may be more practical, and the high-frequency oscillation of the control torque in Fig. 3(d) can be avoided, as shown in Fig. 3(d) and Fig. 7(d).

## 7. Conclusions

In this paper, an attitude controller of the leader–follower multi-spacecraft system on SO(3) is proposed to realize attitude consensus and attitude stabilization under the stochastic links failure and actuator saturation. It is suitable for the

multi-spacecraft system in a directed topology link and with a static virtual leader.

The main conclusions are drawn as follows:

- (1) The proposed multi-spacecraft system attitude error model is based on  $SO(3)$  and considers that the attitude error on  $SO(3)$  cannot be defined based on algebraic subtraction.
- (2) Despite the stochastic connectivity of the communication links, the proposed controller can achieve attitude consensus and attitude stabilization at the same time by leveraging the super-martingale convergence theory.
- (3) Simulation results demonstrate the efficiency of the proposed attitude controller. The results show that the proposed controller for the multi-spacecraft system on  $SO(3)$  can avoid the fuzziness of the unit-quaternion, and can realize attitude consensus and attitude stabilization control of the multi-spacecraft system under different modeling methods of stochastic links failure.

In future works, the attitude control of multi-spacecraft system under the stochastic failure of communication link and the change of communication topology will be explored.

#### Data availability

#### Declaration of Competing Interest

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

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Uncorrected Proof